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MAIL STOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313-1450

Express Mail No.: EV 124824089 US
Attorney Docket No.: 0492611-0482/MIT 9961
Date Filed: September 30, 2003

UTILITY PATENT APPLICATION TRANSMITTAL

(FOR NEW NONPROVISIONAL APPLICATIONS UNDER 37 C.F.R. § 1.53(B))

Dear Sir:

Please find enclosed a patent application and papers as follows:

Inventor(s):

Given Name (first and middle) Family Name or Surname Residence (City and State or Foreign Country)

Anish	Goel	Cambridge, MA
Jack B.	Howard	Winchester, MA
John B.	VanderSande	Newbury, MA

Title of the Invention:

“FULLERENIC STRUCTURES AND SUCH STRUCTURES TETHERED TO CARBON MATERIALS”

A) APPLICATION ELEMENTS:

- 1) ☐ **Fee Transmittal Form** (original and duplicate submitted for fee processing)
- 2) ☒ **Applicant Claims Small Entity Status** (see 37 CFR 1.27)
 - a) ☐ **Statement Verifying Small Entity Status** (optional)
- 3) ☒ **Specification**

TOTAL PAGES: 25

Via Express Mail Label No. EV 124824089US
Mailed: SEPTEMBER ___, 2003
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- Descriptive Title of the Invention
- Cross References to Related Applications (if applicable)
- Statement Regarding Federally Sponsored R & D (if applicable)
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Summary of the Invention
- Description of the Drawing (if filed)
- Detailed Description of the Invention
- Claim(s)
- Abstract of the Invention

4) X **Drawing(s)** (35 U.S.C. § 113) **TOTAL SHEETS: 8**

a) ___ Formal Drawings (if checked)

5) X **Oath or Declaration** **TOTAL PAGES: 3**

a) ___ Newly Executed (original or copy)

b) ___ Copy from a prior application (37 C.F.R. § 1.63(d)) for continuation/divisional application

i) ___ DELETION OF INVENTOR(S): Signed Statement deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

c) X **Unexecuted**

6) ___ Application Data Sheet. See 37 CFR 1.76.

7) ___ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8) ___ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all are necessary)

a) ___ Computer Readable Form (CRF)

b) ___ Specification Sequence Listing on:

i) ___ CD-ROM or CR-R (2 copies); or

ii) ___ Paper

c) ___ Statements verifying identity of above copies

B) ACCOMPANYING APPLICATION PARTS:

- 9) ☐ **Assignment Papers** (cover sheet & document(s))
- 10) ☐ **37 C.F.R. § 3.73(b) Statement** (when there is an assignee)
- 11) ☐ **Power of Attorney**
- 12) ☐ **English Translation Document** (if applicable)
- 13) ☐ **Information Disclosure Statement (IDS)/PTO-1449**
- 14) ☐ **Copies of IDS Citations**
- 15) ☐ **Preliminary Amendment**
- 16) ☒ **Return Receipt Postcard (MPEP 503)** (specifically itemized)
- 17) ☐ **Certified Copy of Priority Document(s)** (if foreign priority is claimed)
- 18) ☐ **OTHER:** Request and Certification Under 35 U.S.C. 1.22(b)(2)(B)(i)

C) FOR CONTINUING APPLICATIONS: (the appropriate box is checked, and certain information is provided below and in a preliminary amendment)

☐ CONTINUATION ☐ DIVISIONAL ☐ CONTINUATION IN PART

EXAMINER:

GROUP/ART UNIT:

FOR CONTINUATION OR DIVISIONAL APPLICATIONS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied as detailed above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

D) METHOD OF PAYMENT OF FILING FEES FOR THIS APPLICATION:

☒ **Applicant claims small entity status 37 CFR 1.27**

☐ A check is enclosed to cover the filing fees as determined on the fee transmittal enclosed herewith.

Basic Filing Fee: \$375.00

40 **Claims**

8 **Dependent claims in excess of 20 @ \$9.00** = 72.00

9 **Independent claims in excess of 3 @ \$42.00** = 378.00

0 **Multiple Dep. claims @ \$140.00** = 0

Total filing fee amount: \$ 825.00

X The commissioner is hereby authorized to charge any additional filing fees, or credit any overpayments, to Deposit Acct. No. 03-1721.

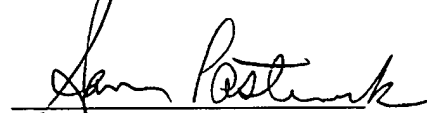
E) CORRESPONDENCE ADDRESS:

_____ Customer Bar Code Label: _____

X Correspondence Address:

Sam Pasternack, Esq.
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53 State Street
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Respectfully submitted,



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Registration Number: 29,576

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Dated: SEPTEMBER 30, 2003
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